



Jewels

New York / 25 June 2021

PHILLIPS



*Van Cleef & Arpels. A Ruby, Diamond
and Gold 'Pelouse' Bracelet, lot 80*

*Van Cleef & Arpels, A Pair of Diamond
and Gold Earrings, lot 79*

Jewels

New York / 25 June 2021

Auction

Friday, 25 June at 11am

Please register to bid online, absentee or by phone.

Southampton Viewing

1 Hampton Road
Southampton, New York 11968

17–20 June
Thursday–Saturday, 11am–6pm
Sunday, 12–6pm

Park Avenue Viewing

432 Park Avenue, New York 10022

21–24 June
Monday–Wednesday, 11am–6pm
Thursday, 11am–4pm

Contact AppointmentsNewYork@phillips.com
or +1 212 940 1200 to arrange your visit.

Sale Designation

When sending in written bids or making enquiries please refer to this sale as NY060221 or Jewels.

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Our team is comprised of experts from auction houses, museums, galleries and other leading arts institutions. In addition to auctions in our New York, London, Hong Kong and Geneva salerooms, Phillips holds private sales and curated selling exhibitions across all of our categories around the world. Our range of services includes appraisals for private clients, advisors, attorneys and other key fiduciaries, and our dedicated Trusts, Estates & Valuations team provides complimentary reviews of collections.



Property of a Beverly Hills Lady

1. Van Cleef & Arpels

A Turquoise, Diamond and Gold Brooch and Earring Set

Round brilliant-cut diamonds
 Oval and round turquoise cabochons
 Signed, with French assay and maker's mark
 18K yellow gold, length approximately 1.00 inch and 1.62 inches

with signed box

Estimate
 \$6,000-8,000

3. Marshak

A Gold Necklace, Circa 1940

Signed, with French assay and maker's mark
 18K yellow gold, length approximately 21.00 inches

Estimate
 \$6,000-8,000



2. Cartier

A Colored Sapphire, Diamond and Gold Brooch, Circa 1940

Cushion-cut colored sapphires
 Round brilliant-cut diamonds
 Signed, numbered
 18K yellow gold, length approximately 1.75"

Estimate
 \$12,000-18,000

4. Sabbadini

A Pair of Colored Sapphire, Diamond and Gold Earrings

Oval mixed-cut colored sapphires
 Round brilliant-cut diamonds
 Signed, with Italian assay and maker's marks
 18K yellow gold, length approximately 1.00 inch

See department for AGL certificate

Estimate
 \$6,000-8,000



3.



4.



5.

Proceeds from this sale benefit the Chabad of Hunterdon County

⌘ 5. **Cellini**

A Multi-Colored Sapphire and Gold Necklace

Multi-colored oval and cushion-cut sapphires, 259.63 carats
Unsigned, accompanied by copy of Cellini appraisal, 2017
18K yellow gold, length approximately 16.50 inches

Estimate

\$60,000-80,000

The Chabad of Hunterdon County provides assistance and guidance to disadvantaged youth.

6.



6. **Graff**

A Diamond, Pave Diamond and Gold 'Swirl' Ring

Round brilliant-cut diamonds, 6.92 carats
Signed, numbered, with English assay marks
18K white gold, size 6.25

Estimate
\$10,000-15,000 •

THIS LOT IS OFFERED WITH NO RESERVE

7.



7. **Graff**

A Sapphire and Diamond Necklace

Pear shaped sapphires, 9.62 carats
Round brilliant-cut and marquise-cut diamonds, 12.15 carats
Signed and numbered
18K white gold, length approximately 15.50 inches

Estimate
\$40,000-50,000 •

THIS LOT IS OFFERED WITH NO RESERVE



8. **Graff**

A Pair of Ruby, Diamond, and Gold Earrings

Round brilliant-cut diamonds, 5.27 carats
 Round rubies, 32.22 carats
 Signed, numbered
 18K white and blackened gold, length
 approximately 2.25 inches

Estimate
 \$45,000-65,000



9. *A Ruby, Diamond, Platinum and Gold Ring*

Cushion-cut ruby, 4.09 carats
 Pear shaped diamonds
 Platinum and 18K yellow gold, size 6.75

Gübelin Report: Burma, no indications of heating
SSEF Report: Burma, no indications of heating
GRS Report: Burma, no indications of heating

Estimate
 \$60,000-80,000

Property of a Lady



10.



11.

10. Graff

A Diamond, Ruby and White Gold 'Mini Butterfly' Pendant Necklace

Round brilliant-cut diamonds, 1.19 carats
Pear shaped, round, marquise-cut rubies, 2.91 carats
Signed, numbered, with English assay marks
18K white gold, length approximately 16.50 inches

Estimate
\$7,000-9,000 •

THIS LOT IS OFFERED WITH NO RESERVE



12.

Property of a New Jersey Collector

11. Graff

A Diamond and Gold 'Snowflake' Pendant Necklace

Round brilliant-cut diamonds, 0.89 carat
Signed, numbered, with English assay marks
18K white gold, length approximately 16.75 inches

Estimate
\$3,000-5,000 •

THIS LOT IS OFFERED WITH NO RESERVE

12. A Pair of Ruby, Diamond and Gold Earrings

Calibré-cut rubies
Round brilliant-cut diamonds
18K white gold, length approximately 1.00 inch

Estimate
\$3,000-5,000



13.

13. Mauboussin

An Art Deco Diamond, Natural Pearl and Platinum Ring, Circa 1930

Natural saltwater button pearls, 6.80mm and 7.00mm
Old mine-cut diamonds
Signed
Platinum, size 7

GIA Report: Natural pearls, saltwater, pinctada species

Estimate
\$6,000-8,000



14.

14. *A Diamond and Gold Ring*

An emerald-cut diamond, 9.89 carats
Tapered baguette-cut diamonds
18K white gold, size 5.25

GIA Report: U to V color, VS1 clarity

Estimate
\$30,000-50,000

Property of an International Gentleman



15.



15. Cartier

*A Diamond, Enamel, Gold and Platinum
Vanity Box, Circa 1930*

Square step-cut, emerald-cut, pear shaped and single-cut diamonds

Signed, numbered, with French assay and maker's marks
Platinum and 18K yellow gold, length approximately
3.12 inches

Estimate
\$4,000-6,000



16.

16. *A Diamond and Platinum Ring*

Old European-cut diamond, 23.90 carats
Platinum, size 6.75

GIA Report: S to T color, SI2 clarity

Estimate

\$180,000-280,000



17. **Harry Winston**

A Pair of Diamond and Platinum Earrings

Round brilliant-cut diamonds
Marquise-cut diamonds
Signed, numbered, with maker's mark
Platinum, length approximately 1.25 inches

with signed box

Estimate
\$45,000-65,000



18. **Tiffany & Co.**

*A Diamond, Emerald and Platinum Brooch,
Circa 1920*

Round brilliant-cut diamonds
Baguette-cut and calibré-cut emeralds
Signed, numbered
Platinum, length approximately 1.12 inches

Estimate
\$6,000-8,000





19.

20.

19. *A Diamond, Emerald and Platinum Bracelet, Circa 1925*

Emerald-cut emeralds
Old European-cut, French-cut and baguette-cut diamonds
French assay marks
Platinum, length approximately 7.00 inches

AGL Report: Colombia, insignificant to minor traditional clarity enhancement

Estimate
\$60,000-80,000

20. *An Art Deco Emerald, Diamond and Platinum Ring, Circa 1930*

Octagonal step-cut emerald, 3.65 carats
Tapered baguette-cut diamonds
Platinum, size 7.25

AGL Report: Colombia, insignificant traditional clarity enhancement

Estimate
\$30,000-50,000

Property of a New Jersey Collection



This necklace was conceived and designed by Francisco Costa in collaboration with Fred Leighton. 100% of the proceeds of sale for this lot will be donated to Conservation International. The buyer of this lot may be eligible to receive a charitable tax deduction for their purchase. Please see section 10 of this auction's conditions of sale.

✕ 21. Francisco Costa and Fred Leighton

*A Diamond, Colored Diamond, Quartz,
Wood and Gold Necklace*

Briolette-cut diamonds and old European-cut diamonds
Colored briolette-cut diamonds

Fancy shaped quartz and druzy geode slices

Signed

18K yellow gold, length approximately 42.5 inches

Estimate

\$20,000-40,000 •

THIS LOT IS OFFERED WITH NO RESERVE





Building upon a strong foundation of science, partnership and field demonstration, Conservation International empowers societies to responsibly and sustainably care for nature, our global biodiversity, for the well-being of humanity.

Right: Francisco Costa's design process and the making of lot 21 at Fred Leighton gallery, photographed by Rebecca Selva

Below: Detail of present lot.



“The Protectors are the most vulnerable.” — Rebecca Selva, Chief Creative Officer, Fred Leighton



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The indigenous peoples of the Brazilian Amazon live in and guard over the world’s most important ecosystem—they protect the Amazon and our future. These protectors are however the most vulnerable facing COVID.

This creative collaboration for a philanthropic purpose is a first for Fred Leighton. It is one which we are very proud to have embarked on with Francisco Costa to support Conservation International’s efforts to aid the indigenous peoples and communities of the Brazilian Amazon in the fight against Covid.

Our friendship with Francisco goes back many years. Francisco’s extraordinary talent and creative vision is equaled by his huge heart, and determination to use his talents to help others and preserve the Earth.

FRED LEIGHTON

.....



“It’s wonderful to be in the presence of kindness, with everyone that has come together to create this magical piece of Jewelry. From the women of the Yawanawa tribe who are at the essence of this project, to Rebecca Selva at Fred Leighton who helped sublime my design with the finest diamonds, and the team at Phillips who believed in our mission, the community that has been created around the project is truly inspiring.

The breu resin stones used in this necklace were harvested sustainably from the heart of the Amazon and wrapped in medicinal leaves, contrasting with the raw, up-cycled diamonds and gold architectural elements of the necklace. I am so proud to have designed this unique piece with both skillful craftsmanship and the natural essence of the Brazilian Amazon.

In partnership with Conservation International, the proceeds from the necklace will go to bring medical aid to the very vulnerable communities of the Amazon including the Yawanawa; these communities are essential to the conservation of the precious forest, as well as the vibrant culture and rich traditions of the region.”

—Francisco Costa

Images of the Yawanawa courtesy of Francisco Costa.



22.

Property of a Lady

22. *A Colored Diamond, Diamond and Gold Bracelet*

Fancy-cut colored diamonds
18K rose gold, length approximately 6.00 inches

Estimate
\$15,000-20,000



23.

23. *A Fancy Colored Diamond, Diamond and Gold Ring*

Cushion brilliant-cut diamond, 8.70 carats
Single-cut diamonds 0.60 carat
18K rose gold, size 6

GIA Report: Fancy Brown Yellow color, SI1 clarity

Estimate
\$60,000-80,000



24.



25.

24. De Grisogono

A Pair of Diamond and Gold Earrings

Round brilliant-cut diamonds
Signed, with makers' mark
18K rose gold, length approximately 1.75 inches

Estimate
\$10,000-12,000

25. Cartier

A Set of Diamond and Gold 'Lanieres' Bracelets

Round brilliant-cut diamonds
Signed, numbered, with French assay and maker's marks
18K white and yellow gold, length approximately 6.37 to 6.81 inches

Estimate
\$20,000-25,000



26.

26. *A Fancy Gray Diamond, Colored Diamond,
and Gold Ring*

Cut cornered rectangular modified brilliant fancy gray
diamond, 2.02 carats

Pear shaped and marquise-cut colored diamonds

Round brilliant-cut diamonds

18K white gold, ring size 6.25

GIA Report: Fancy Gray color, SI2 clarity

Estimate

\$30,000-40,000



27. Chanel

A Pair of Colored Sapphire, Diamond and Gold 'Les Blés de Chanel' Earrings

Pear shaped colored sapphires
Round brilliant-cut diamonds
Signed, numbered, with French assay and maker's marks
18K yellow gold, approximately 2.50 inches

Estimate
\$5,000-7,000



28. Norman Silverman

A Diamond and Gold Bracelet

Round brilliant-cut diamonds, 15.72 carats
Signed, with maker's mark
18K yellow gold, interior circumference 5.25 inches

Estimate
\$10,000-15,000





29. *An Important Colored Diamond, Diamond
and Platinum Ring*

Pear brilliant-cut diamond, 10.11 carats

Tapered baguette-cut diamonds

Platinum, size 7.25

GIA Report: Faint Pink color, VVS2 clarity

Estimate

\$300,000-400,000





Property from a Private New York Collection

30. **David Morris**

An Emerald, Diamond and Platinum Necklace

Rectangular shaped emeralds
Baguette-cut diamonds
Signed
Platinum, length approximately 17.00 inches

Estimate
\$40,000-60,000

Property from a Private New York Collection

31. **David Morris**

An Emerald, Diamond and Platinum Ring

Rectangular shaped emerald
Baguette-cut diamonds
Signed
Platinum, size 8

Estimate
\$3,000-5,000

Property from a Private New York Collection

32. **David Morris**

A Pair of Emerald, Diamond and Platinum Earrings

Rectangular shaped emeralds
Baguette-cut diamonds
Signed
Platinum, length approximately 0.88 inch

Estimate
\$3,000-5,000



33.

Property from a Private New York Collection

33. *A South Sea Cultured Pearl Necklace*

Near round South Sea cultured pearls, 16.32 - 14.72 mm
Length approximately 19.50 inches
Clasp is costume

GIA Report: Saltwater, no indications of treatment

Estimate

\$4,000-6,000 •

THIS LOT IS OFFERED WITH NO RESERVE



34.

Property from a Private New York Collection

34. *A Pair of Diamond and Gold Earrings*

Pear shaped diamonds, 3.47 - 3.38 carats
Marquise-cut and pear shaped diamonds
18K white gold, length approximately 1.50 inches

GIA Report: F color, VVS2 clarity

GIA Report: F color, VVS2 clarity

Estimate

\$15,000-25,000



35.



36.

35. *A Pair of Ruby, Diamond and Platinum Earrings*

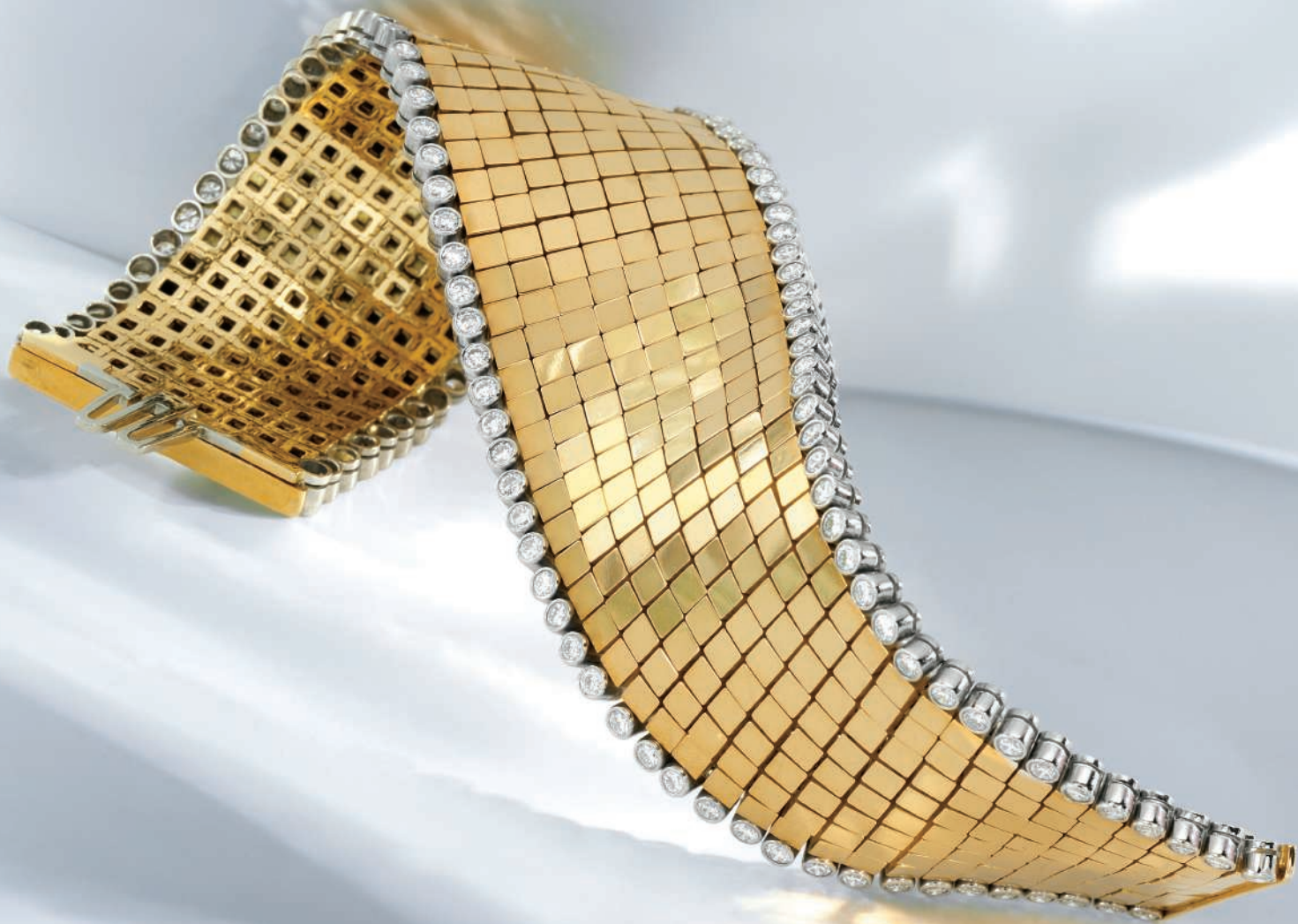
Oval mixed-cut rubies
 Old European-cut diamonds
 Platinum, length approximately 1.50 inches
 AGL Report: Burma, no indications of heating

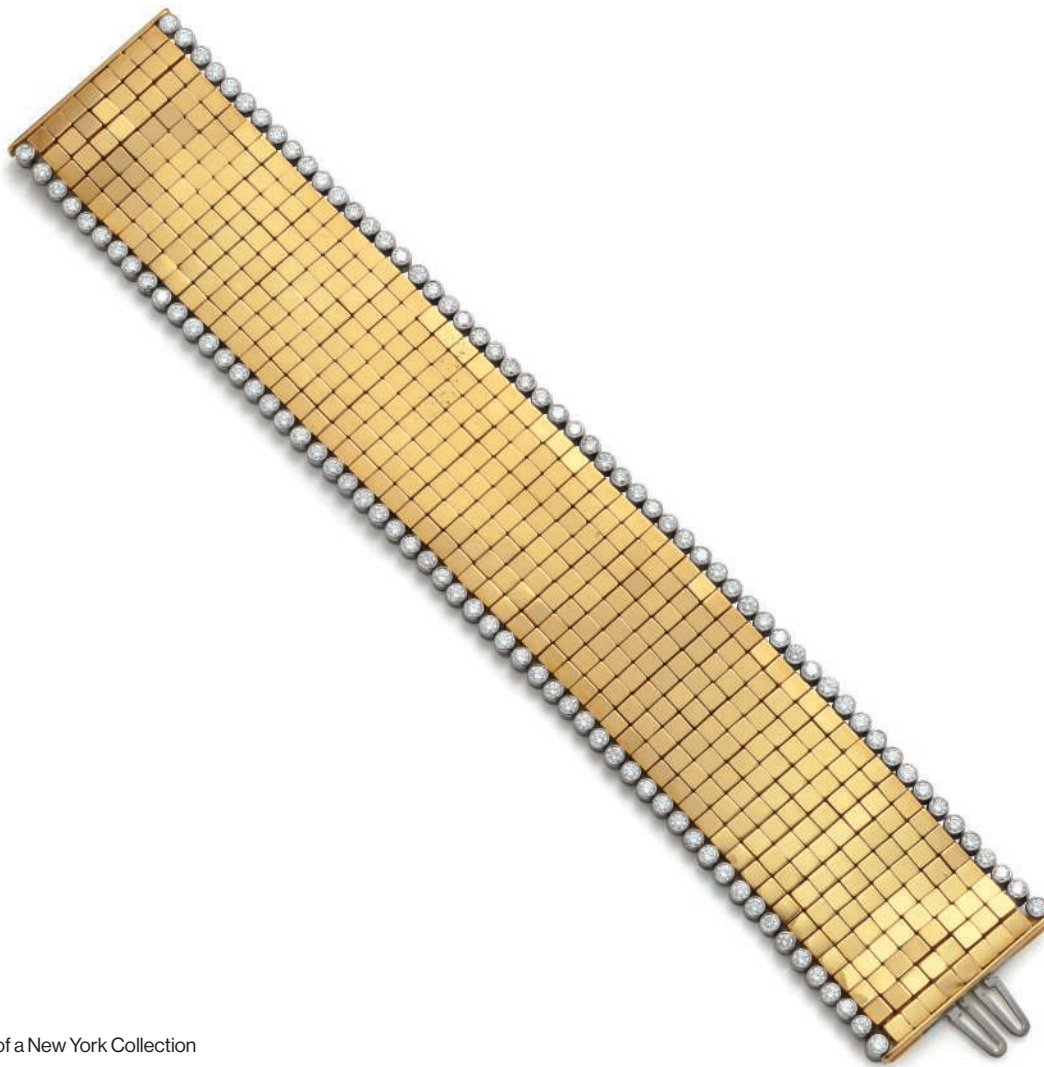
Estimate
 \$15,000-25,000

36. *A Pair of Diamond and Gold Bangle Bracelets*

Round brilliant-cut diamonds
 18K yellow gold, inner diameter approximately 2.50 inches

Estimate
 \$15,000-25,000





Property of a New York Collection

37. Aletto Brothers

A Diamond and Gold Bracelet

Round brilliant-cut diamonds

Signed

18K yellow gold, length approximately 6.75 inches

Estimate

\$8,000-12,000



38.

Property from a New York Collection

38. *Jewels by Viggi*

A Diamond and Platinum Ring

Emerald-cut diamond, 5.02 carats
Baguette-cut diamonds
Platinum, size 6.5

GIA Report: F color, VS1 clarity

Estimate

\$60,000-80,000



39.

Property of a New York Collection

39. *A Diamond and Platinum Ring*

Pear shaped diamond, 5.51 carats
Tapered baguette-cut diamonds
Platinum, size 7.25

GIA Report: G Color, SI1 clarity

Estimate

\$50,000-70,000





40.

40. *A Set of Diamond and Gold Bangle Bracelets*

Round brilliant-cut diamonds
14K yellow gold, inner circumference approximately
7.00 inches

Estimate
\$20,000-30,000



41.

41. **Harry Winston**

A Tourmaline, Ruby, Diamond and Gold Choker

Pear shaped tourmaline
Round brilliant-cut, baguette-cut and tapered
baguette-cut diamonds
Square-cut rubies
18K yellow gold, length approximately 14.00 inches

Tourmaline of later addition

Estimate
\$15,000-20,000



42.

42. Cartier

A Diamond and Gold 'Maillon' Necklace

Round brilliant-cut diamonds
Signed, numbered, with French assay and maker's mark
18K yellow gold, length approximately 15.50 inches

Estimate
\$12,000-15,000



43.

43. A Colored Sapphire, Diamond and Gold Ring

Orange yellow octagonal step-cut sapphire, 30.71 carats
Single-cut diamonds
18K yellow and white gold, ring size 6.5
AGL Report: Ceylon, no gemological evidence of heat

Estimate
\$50,000-60,000





Property of a Private Monaco Collection

44. *A Pair of Ruby, Emerald, Sapphire and Diamond Earrings*

Round brilliant-cut diamonds
Round rubies, emeralds and sapphires
18K yellow gold, length approximately 1.25 inches

Estimate
\$3,000-5,000

Property from a Private Monaco Collection

45. *A Ruby, Emerald, Sapphire Diamond and Gold Necklace*

Round brilliant-cut diamonds
Round rubies, emeralds and sapphires
18K yellow gold, length approximately 1.25 inches

Estimate
\$18,000-25,000

Property from a Private Monaco Collection

46. **Hennell**
A Ruby, Emerald, Sapphire, Diamond and Gold Ring

Round brilliant-cut diamonds
Square emerald-cut rubies, emeralds and sapphires
Signed, with maker's mark
18k yellow gold, size 6.25

Estimate
\$3,000-5,000



47. *A Set of Cultured Pearl and Diamond Jewelry*

Round cultured pearls, 14.00-11.25 mm

Round brilliant-cut diamonds

18K gold, length 34.00 inches

See department for GIA report

Estimate

\$12,000-16,000



47.



48.

48. *A Colored Diamond, Diamond and Gold Ring*

Fancy pinkish brown marquis-cut diamond, 3.01 carats
Pear brilliant-cut diamond, 0.81 carat
Pear brilliant-cut diamond, 0.81 carat
Marquis-cut and round brilliant-cut diamonds,
4.72 carats
18K white gold, ring size 6

GIA Report: Fancy pinkish brown color, I1 clarity
GIA Report: F color, VS2 clarity
GIA Report: F color, VS2 clarity

Estimate
\$50,000-60,000



49.

Property from a Private Monaco Collection

49. **Carlo Luca Della Quercia Illario**

A Ruby, Diamond, Enamel and Gold Bracelet

Round brilliant-cut diamonds
Marquise-cut rubies
Italian assay and maker's mark
18K white gold, length approximately
14.50 inches adjustable

Estimate

\$12,000-18,000

50.



50. *A Pair of Ruby, Diamond and Gold Earrings*

Cushion-cut rubies
Oval-cut diamonds
18K white gold, length approximately 1.25 inches
AGL Report: Burma, no indications of heating

Estimate
\$40,000-60,000



51.

51. *A Diamond and Gold Ring*

Cushion rose-cut diamond, 4.17 carats
18K yellow and white gold, size 6
GIA Report: I color, VVS2 clarity

Estimate
\$40,000-50,000



52.

52. Bulgari

A Steel and Gold 'Serpenti Tubogas' Wristwatch

Quartz movement, case no. PO 4657, reference no. SP 35 5PG
 Dial and case signed
 18K rose gold and stainless steel, inner circumference
 5.50 inches adjustable

with signed box

Estimate
 \$6,000-8,000



53.

Σ 53. Rolex

A Diamond, Sapphire and Gold 'Pearlmaster Datejust' Wristwatch

Round cabochon and calibr -cut sapphires
 Round brilliant-cut diamonds
 Mother-of-pearl dial
 Automatic movement, case no. K580170
 Case, dial, bracelet, and clasp signed, with maker's mark
 and Swiss assay marks
 18K white gold, bracelet length approximately 6.00 inches

with signed box

Estimate
 \$30,000-50,000

Property from a Private Monaco Collection



54.

55.

Property from a Private Monaco Collection

Property from a Private Monaco Collection

54. **Tamara Comolli**

55. **Tamara Comolli**

*A Multi-Colored Gemstone and Gold
"Mikado Flamenco" Bracelet*

*A Multi-Colored Gemstone and Gold
"Mikado Flamenco" Ring*

Topaz, tourmaline, citrine, garnet, peridot and
amethyst cabochons
Signed, with Italian assay and maker's marks
18K yellow gold, length approximately 8.5 inches

Tourmaline, topaz, peridot, garnet and citrine cabochons
Signed, with Italian assay mark
18K yellow gold, size 6.25

with signed box

Estimate
\$2,500-3,500

Estimate
\$12,000-18,000



56.

56. *A Colored Sapphire, Diamond
and Gold Necklace*

Tumbled sapphires, 249.30 carats
Round brilliant-cut diamonds, 7.73 carats
18K blackened white gold, length
approximately 20.00 inches

Estimate
\$18,000-22,000



57.



58.



57. **Graff**

A Pair of Sapphire and Diamond 'Pavillion' Earrings

Round brilliant cut and pear shaped diamonds, 3.98 carats
Round sapphires, 6.89 carats
Signed and numbered
18K blackened white gold, length approximately 1.75 inches

Estimate
\$20,000-30,000 •

THIS LOT IS OFFERED WITH NO RESERVE

58. *A Sapphire, Diamond and Gold Ring*

Modified oval shaped mixed-cut sapphire, 8.94 carats
Round brilliant-cut diamonds
18K white gold, size 6.5

AGL Report: Burma, no gemological evidence of heat

Estimate
\$25,000-35,000



59.

59. **Jean Parmentier**

A Ruby, Sapphire, Diamond, Platinum and Gold Brooch

Round brilliant-cut and single-cut diamonds
Carved rubies and sapphires
Signed, with French assay and maker's marks
Platinum and 18K white gold, length approximately
3.12 inches

Estimate
\$6,000-8,000



60.

60. *An Art Deco Sapphire, Diamond and Platinum Ring, Circa 1920*

Round modified mixed-cut sapphire, 7.50 carats
Single-cut diamonds
Platinum, size 7
AGL Report: Burma, no gemological evidence of heat

Estimate
\$12,000-15,000



61.



62.

61. *A Pair of Sapphire, Diamond and Platinum Earrings*

Round and modified oval shaped sapphires
Round single-cut and baguette-cut diamonds
Platinum, length approximately 1.25 inches
SSEF Report: Burma, no indications of heating

Estimate
\$20,000-30,000

62. *A Sapphire, Diamond and Gold Bracelet*

Oval shaped sapphires
Round brilliant-cut diamonds
18K white gold, length approximately 7.50 inches

Estimate
\$5,000-7,000



63.

Property from a Private Monaco Collection

63. *A Pair of Emerald, Diamond and Gold Earrings*

Pear shaped emeralds
Round brilliant-cut diamonds
Italian assay marks
18K white gold, length approximately 3.75 inches

Estimate
\$15,000-20,000



64.

Property of a Beverly Hills Collector

64. *A Diamond and Platinum Ring*

Cushion modified brilliant-cut diamond, 5.03 carats
Platinum, size 6.5
GIA Report: D color, VS1 clarity

Estimate
\$120,000-150,000





65.

65. Graff

A Pair of Sapphire, Diamond and Gold Earrings

Pear shaped, marquise-cut and round brilliant-cut diamonds, 5.85 carats
Pear shaped and round sapphires, 5.76 carats
Signed, numbered
18K white gold, length approximately 2.00 inches

Estimate

\$25,000-35,000 •

THIS LOT IS OFFERED WITH NO RESERVE



66.

66. Graff

A Diamond and Gold 'Icon' Pendant Necklace

Pear shaped and round brilliant-cut diamonds, 7.18 carats
Signed and numbered
18K white gold, chain length approximately 16.00 inches

Estimate

\$15,000-20,000 •

THIS LOT IS OFFERED WITH NO RESERVE

67.



67. *A Rare Emerald, Diamond and Platinum Ring*

Rectangular emerald-cut emerald, 5.82 carats
Tapered baguette-cut diamonds
Platinum, size 6.25

AGL Report: Afghanistan, insignificant to minor
traditional enhancement

Estimate
\$50,000-80,000

68.



68. **Graff**

A Diamond, Emerald and Gold Pendant Necklace

Round brilliant-cut diamonds, 2.64 carats
Round emeralds, 0.92 carat
Signed and numbered
18K yellow, white and blackened white gold, length
approximately 16.75 inches

Estimate
\$8,000-12,000 •

THIS LOT IS OFFERED WITH NO RESERVE





69.



70.

Recognized for bold and understated designs, Bill Smith is the first Black designer to receive a special Coty award in 1970. The Coty awards were considered the Oscars of fashion and design. Notable recipients include jewelry icons such as Elsa Peretti, David Webb, Jean Schlumberger, and Aldo Cipullo. In addition to designing under his own name, Bill Smith Design Studio, he also designed a limited number of pieces for Cartier New York in the 1970's.

69. Bill Smith for Cartier

An Onyx and Gold Brooch, Circa 1970

Carved onyx
Signed, numbered
18K yellow gold, length approximately 3.00 inches

Estimate
\$2,000-3,000

70. Aldo Cipullo for Cartier

A Pair of Onyx, Lapis and Gold Earrings, 1973

Carved onyx tablets
Round lapis cabochons
Signed
18K yellow gold, length approximately 1.20 inches

Estimate
\$5,000-7,000

Bulgari began incorporating ancient coins into their jewels as early as the 1960's. Conceived by Nicola Bulgari in 1966, the "Monete" collection quickly became one of the houses most enduring and iconic creations. Instantly recognizable, this timeless collection that captures the spirit of Rome, is in such demand that the collection is still manufactured today.

Although, the idea of mounting "moneti" or coins decoratively into jewels is certainly derivative. There are countless references from ancient Rome, the Byzantine era, the Anglo- Saxons and even the revered 19th century jewelry masters Castellani and Giuliano, that display this motif.

The present example, a six motif "Monete" necklace set with Roman coins dating back to 300 A.D., is unique and rare because of its tri-color gold manufacture. This necklace artfully marries numismatic artifacts more than 2,000 years old with a bold and contemporary design. Wear it and carry a piece of history forward.



71.

71. Bulgari

An Ancient Coin and Gold 'Monete' Necklace

Ancient Roman Empire coins

Signed, with Italian assay and maker's marks

18K yellow, rose and white gold, length approximately 30.5 inches

Estimate

\$30,000-40,000



72.

72. Bulgari

A Spinel, Diamond and Gold Necklace

Oval spinel cabochon
Round brilliant-cut diamonds
Signed, numbered
18K yellow gold, length approximately 15.00 inches
GIA Report: Spinel, no indications of heat

Estimate
\$20,000-30,000





73. Cartier

A Pair of Sapphire, Diamond and Platinum Earrings

Tumbled, round and cushion-cut sapphires
Round brilliant-cut diamonds
Signed, numbered, with French assay and maker's mark
Platinum, length approximately 2.87 inches

with signed box

Estimate
\$80,000-100,000

74.



74. Taffin

A Pair of Opal, Demantoid Garnet and Gold Earrings

Elongated, carved cabochon-cut opals
Round demantoid garnets
Round brilliant-cut diamonds
Signed, with maker's mark
18K yellow gold, length approximately 2.25 inches

Estimate
\$6,000-8,000



75.

75. David Webb

A Pair of Gold Earrings

Signed
18K yellow gold, length approximately 1.50 inches

Estimate
\$4,000-6,000





76.

76. *An Imperial Topaz, Diamond and Gold
Pendant Necklace*

Imperial topaz, 19.89 carats

Round brilliant-cut diamonds

18K white gold, length approximately 19.00 inches

SSEF Report: Imperial Topaz, no indications of heating

Estimate

\$30,000-40,000



77.

77. Chaumet

A Multi-Colored Gemstone and Gold Bracelet

Oval shaped cabochon tourmaline, amethyst, citrine, garnet and peridot
Signed, numbered, with French maker's mark, French and Italian assay marks
18K yellow gold, length approximately 7.75 inches

Estimate
\$3,000-5,000



78.

78. A Multi-Colored Gemstone and Gold Ring, circa 1970

Carved tourmaline
Oval shaped faceted citrine and tourmaline
Cabochon citrine, tourmaline and peridot
Numbered, with French and English assay and maker's marks
18K yellow gold, Size 6.5

Estimate
\$3,000-5,000





79.

79. Van Cleef & Arpels

A Pair of Diamond and Gold Earrings

Round brilliant-cut diamonds
Signed, numbered with French assay and maker's mark
18K yellow gold, length approximately 0.87 inch

Estimate
\$10,000-12,000



80.

80. Van Cleef & Arpels

A Ruby, Diamond and Gold 'Pelouse' Bracelet

Round brilliant-cut diamonds
Round rubies
Signed, numbered with French assay and maker's marks
18K yellow gold, length approximately 6.75 inches

with signed box

Estimate
\$30,000-50,000

Property of a European Lady



81.

81. Graff

A Pair of Ruby and Gold 'Abstract' Earrings

Pear shaped rubies, 16.42 carats
Signed, numbered, with English assay marks
18K white gold, length approximately 1.00 inch

Estimate

\$35,000-45,000 •

THIS LOT IS OFFERED WITH NO RESERVE



82.

82. Van Cleef & Arpels

A Colored Sapphire, Diamond and Gold Bangle

Round pink sapphires
Round brilliant-cut diamonds
Signed, with maker's mark
18K yellow gold, inner circumference 6.25 inches

Estimate

\$6,000-8,000



83.

83. Graff

A Diamond, Ruby and Platinum Pendant Necklace

Round brilliant-cut diamonds, 16.37 carats

Pear shaped diamonds, 4.55 carats

Pear shaped rubies, 14.37 carats

Signed, numbered

18K white gold, length approximately 17.00 inches

Estimate

\$95,000-120,000





84.

84. Graff

A Diamond and Gold Necklace

Round brilliant-cut diamonds, 36.25 carats
Signed, numbered, with English
assay marks
18K white gold, length approximately
17.00 inches

Estimate
\$60,000-80,000



85.

85. Harry Winston

An Exceptional Pair of Diamond and Platinum Earrings

Pear shaped diamonds, 5.27 and 5.76 carats
Marquise-cut diamonds, 1.14 and 1.15 carats
Platinum, length approximately 0.87 inch

with signed box and letter from Harry Winston

GIA Report: E color, VS2 clarity
GIA Report: E color, VVS1 clarity
GIA Report: F color, VS1 clarity
GIA Report: E color, VS1 clarity

Estimate
\$300,000-400,000




Colombia has been the most prolific producer of gem quality emeralds in the world for centuries. Few other geographic areas produce emeralds of the same purity, quantity, and unique vivid green color.

However, throughout history, the development of Colombian emeralds specifically, has been a geological enigma. Emeralds from this region uniquely grow in sedimentary shale rock. Conversely, emeralds found elsewhere almost always appear in igneous rocks, and they are catalyzed by volcanic activity. It was not until the 1990's that we learned Colombian emeralds' formation are distinctively the result of subterranean hydrothermal forces. The process commences when shale rock is exposed to sulphurous briny water. This contact creates ideal conditions for Colombian emerald formation. Furthermore, the powerful emission of carbon dioxide from the shale fragments the emeralds and then crystallizes them once again, concentrating the stone with chromium, the mineral responsible for Colombian emerald's signature vivid green color unmatched by emeralds from other regions.

The 5.50 carat Colombian emerald example here is distinguished by a "Classic Colombia" designation from the American Gem Laboratory. What does this mean? This mineral fulfils the AGL's two-pronged criteria which determines and distinguishes the most coveted emeralds in the world.

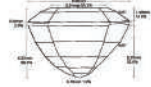
Foremost, this gemstone is of premier quality-exhibiting color and clarity that rank it among the top percentile in terms of emeralds mined in Colombia. For example, due to the complex geological formation processes, Colombian emeralds typically contain inclusions that negatively affect their purity. It is therefore highly unusual to find an emerald from Colombia with so few fissures, such as this. What's more, in addition to excellent clarity, this emerald exhibits a stunning saturated vivid color. Lastly, fulfilling the second criteria for the "Classic Colombia" designation, this stone possesses several geological characteristics that provide a high degree of confidence in determining the country of origin. This is truly one of the most exceptional emerald gemstone examples that we are honored to offer for inclusion in the collection of our most sophisticated mineral connoisseurs.

The Prestige Gemstone Report™ AMERICAN GEMMOLOGICAL LABORATORIES **AGL**



Images do not accurately portray size or color.

Abu-Vu™ Imaging



Comments:

General Report Comments:

Document No:	1093096	Validation Date:	14 June 2018
Identification	Identical: Natural Beryl Mineral Type: Emerald Variety: Emerald Color Description: Green Carat Weight: 5.50 cts Measurements: 11.22 x 9.96 x 6.83 mm Shape: Rectangular Cutting Style: Emerald Cut Comments:	American Gemological Laboratories™ America's first and most highly respected origin lab. Founded in 1977. AGL is an internationally recognized gemstone testing facility, specializing in comprehensive colored stone analyses. AGL has the distinction of being the first laboratory in the United States to issue Country of Origin reports. Our company and its personnel have a long tradition of research into the detection of and recovery on gem identification and classification, gemstone treatments and provenance determinations. Our staff is comprised of experts in the field of gemstone testing and reporting. Our findings reflect the latest knowledge and analytical techniques to ensure the highest standards are applied on every stone we test. AGL's testing and reporting methodology provide you with unsurpassed quality and reliability. We are committed to providing the highest level of service and reporting that our clients and the industry have come to expect from the AGL.	
Origin	Provenance: Classic™ Colombia Comments: Based on available gemological information, it is the opinion of the Laboratory that the origin of this material would be classified as Classic™ Colombia.	Origin Enhancement Standard: Clarity enhancement None Addressed: None Comments: This gemstone contains features which at the time of testing were not listed. Emeralds are typically clarity enhanced to secure the stability of fissures. Enhancement Stability Index: [Table] Degree of Clarity Enhancement & Relative Purity: [Table]	

AGL is testing and reporting methodology provide you with unsurpassed quality and reliability. We are committed to providing the highest level of service and reporting that our clients and the industry have come to expect from the AGL.

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AGL
AMERICAN GEMMOLOGICAL LABORATORIES

14 June 2018

Natural Emerald, Weight: 5.50 cts
Reference: 1093096

To Whom It May Concern,


For centuries Colombia has been one of the most prolific producers of gem emeralds in the world. Very few locations from any geographic area produce emeralds in the same quality, quantity, and visual appeal as this lush, tropical, South American country. This isolated and exotic mining area, an extension of the Andes in central Colombia, is rich in both history and international intrigue. The original deposits of El Chivor date back to the early 1600s and were reportedly mined by the Chibcha Indians of the high Colombian plateau. This was a part of the world where highly developed native Indian tribes collided with the invading Spanish Conquistadors. The ensuing battles for local treasure had long-lasting consequences for both the conscience and cultures of Europe and South America.

The Spanish invasion of this territory introduced the outside world to a fantastic treasure trove of high quality emeralds and gold to adorn the monarchs and potentates of Europe and the rest of world. The majority of these exceptional gemstones emerged from the black, carbonaceous shale that constitutes the primary host rock of the area which includes the famous mines of Muzo and Chivor.

From a chemical standpoint, the cause of emerald's intense green color is primarily chromium and vanadium. At the same time that these chromophores are contributing to the unique visual appeal of emeralds, they are also causing a challenge to their clarity. It has been suggested that, together with the geologic conditions responsible for the growth of these emeralds, the presence of high levels of chromium in combination with diminished amounts of iron in emerald's atomic structure cause internal crystallographic stress resulting in fractures that characteristically lower the clarity of these intensely green gems. Consequently, high clarity emeralds are rare and highly prized among miners, gem traders, and gemstone connoisseurs.


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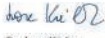
GÜBELIN





GEMMOLOGICAL REPORT

Report Number 19023007	Colour green
Date 12 February 2019	Species Natural beryl
Item One faceted gemstone	Variety Emerald
Weight 5.49 ct	Origin Colombia
Shape octagonal	Condition No indications of clarity enhancement.
Cut step cut	Comments See Information Sheet(s).
Measurements 11.24 x 9.98 x 6.83 mm	Impurities, inclusions and treatments on the reverse.
Transparency transparent	



 Annette Widmann-Wong


 Dr. Lore Kiefer



SSEF 

SCHWEIZERISCHES GEMMUNGSWISSENSCHAFTLICHES INSTITUT
INSTITUT SUISSE DE GEMMOLOGIE



Gemmologie 12c


Gemstone Report No. 105533


Weight:	5.505 ct
Shape & cut:	octagonal, step cut
Measurements:	11.22 x 9.96 x 6.83 mm
Colour:	green of medium strong saturation
Identification:	EMERALD (variety of natural beryl)
Comments:	The analysed properties confirm the authenticity of this transparent emerald. No indications of clarity modification in fissures at the time of testing. Origin: Colombia

Important Note: This report serves as the Gemstone Report unless our findings of the trade's issuance. A gemstone could be modified and/or enhanced at any time. Therefore, the SSEF can at any time reassess if a stone is in accordance with the Gemstone Report. Once verified to whom reported, only the report with the valid original signature, embossed stamp and "True Copy" label should be in the possession of the intended recipient. SSEF reports are issued as Gemstone Reports and not as Gemstone Reports. See terms and conditions on reverse side and www.ssef.ch/terms-conditions. © The Gemstone Report is issued by SSEF.

SWISS GEMMOLOGICAL INSTITUTE - SSEF

Basel, 8 March 2019 dh


 P. Lefevre, MSc, DUG


 Dr. M.-S. Krzemnicki, FGA





86. *A Superb Emerald, Diamond, Platinum and Gold Ring*

Emerald-cut emerald, 5.50 carats
Trapezoid-cut diamonds
Bullet-cut diamonds
Platinum and gold, size 5 with sizing guard

Gubelin Report: Colombia, no indications of clarity enhancement
SSEF Report: Colombia, no indications of clarity enhancement
AGL Report: "Classic Colombia", no indications of clarity enhancement

Estimate
\$220,000-260,000



87.



88.

87. **Van Cleef & Arpels**

*A Pair of Sapphire, Diamond and Gold
Day/Night Earrings*

Calibré-cut and pear shaped sapphires
Round brilliant-cut and single-cut diamonds
Signed, numbered
18K white gold, length approximately 2.25 inches

Estimate
\$25,000-35,000

Property of a New York Lady

88. *A Diamond, Sapphire and Platinum Ring*

Emerald cut diamond, 4.80 carats
Trillion-cut sapphires
Platinum, size 5
GIA Report: F Color, IF Clarity

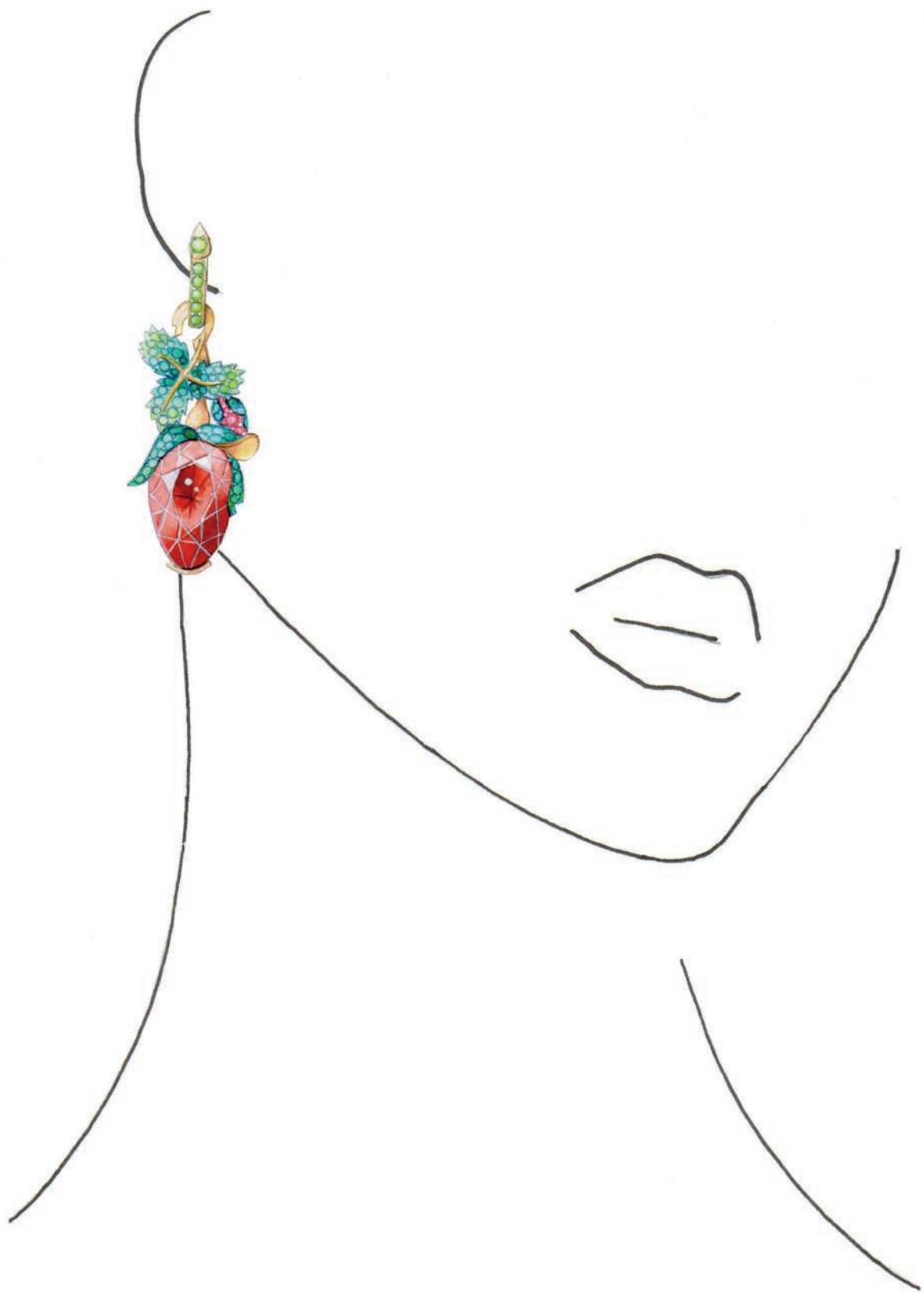
Estimate
\$65,000-85,000





Natural spinels from Burma of large scale and fine quality are very rare and exceptional. Thus, it is with great excitement that we offer this remarkable matched pair of 16.76 and 16.69 carat Burmese spinels without enhancement. Exhibiting a strong, even and saturated red hue, without the presence of a modifying color, these bright spinels displaying vitreous luster are destined for a gem collector seeking the extraordinary. Expertly cut, their attractive pear shape further pronounces their brilliance and clarity. They are truly stunning and singular.







Pamela Hastry acquired her mastery of jewelry and design in the four corners of Europe. She studied design at the Kinston University in London and the Instituto Europeo di Design in Rome and received her formal jewelry training at the National HBJO Federation in Paris. Exploring the famed workshops of Place Vendome, Pamela honed her technical acumen and refined her manufacturing standards based on the *savoir faire* of the great French jewelry makers.

In her first partnership with Phillips, Pamela has designed a bespoke pair of earrings for Lot 89, A Rare Pair of Spinel, which she reimagined as rose buds in 18K rose gold with a floral pendant motif. The leaves, set with gradient colors of emeralds and tsavorite garnets, are brought to life with a highly technical cataphoretic coating in vivid green, applied directly on the rose gold, to unify and heighten the colors.

This bespoke artist rendering is included with the purchase of Lot 89 along with an introduction to Pamela Hastry. Please visit our website to see a full rendering and 3D video.

89. *A Rare Pair of Spinel*

Modified pear mixed-cut spinel, 16.76 carats
Modified pear mixed-cut spinel, 16.69 carats
Pear shaped and round brilliant-cut diamonds
18K yellow gold, length approximately 2.00 inches

AGL Report: Burma, no gemological evidence of enhancements/treatments present

Estimate
\$280,000-350,000





90. Harry Winston

*An Important Sapphire, Diamond and
Platinum Ring*

Modified pear mixed-cut sapphire, 18.77 carats

Pear shaped and marquise-cut diamonds

Signed

Platinum, size 6.5

AGL Report: Burma, no gemological evidence of heat

Estimate

\$220,000-320,000

Colorless Diamond Index

Color	Clarity	Carat	Cut	Lot
D	VS1	5.03	Cushion	64
E	VS2	5.76	Pear	85
E	VVS1	5.27	Pear	85
E	VS1	1.15	Marquise	85
F	VS1	1.14	Marquise	85
F	VS1	5.02	Emerald	38
F	VS2	0.81/0.81	Pear	48
F	IF	4.80	Emerald	88
F	VVS2	3.47/3.38	Pear	34
G	SI1	5.51	Pear	39
I	VVS2	4.17	Cushion	51
S-T	SI2	23.90	Old European	16
U-V	VS1	9.89	Emerald	14

Fancy Colored Diamond Index

Color	Clarity	Carat	Cut	Lot
Fancy Brown Yellow	SI1	8.70	Cushion	23
Fancy Gray	SI2	2.02	Rectangular	26
Faint Pink	VVS2	10.11	Pear	29
Fancy Pinkish Brown	I1	3.01	Marquise	48

Ruby Index

Origin	Treatment	Laboratory Report	Carat	Cut	Lot
Burma (Myanmar)	None	Gübelin/GRS/SSEF	4.09	Cushion	9
Burma (Myanmar)	None	AGL	9.72 x 6.52 x 3.77mm to 8.60 x 7.03 x 3.81mm	Oval	35
Burma (Myanmar)	None	AGL	4.46/4.00	Cushion	50

Emerald Index

Origin	Treatment	Laboratory Report	Carat	Cut	Lot
Columbia	Insignificant	AGL/GIA	3.65	Octagonal	20
Afghanistan	Insignificant to Minor	AGL	5.82	Rectangular	67
Columbia	None	AGL/Gübelin/SSEF	5.50	Emerald	86

Sapphire Index

Origin	Treatment	Laboratory Report	Carat	Cut	Lot
Ceylon (Sri Lanka)	None	AGL	30.71	Octagonal	43
Burma (Myanmar)	None	AGL	8.94	Oval	58
Burma (Myanmar)	None	AGL	7.50	Round	60
Burma (Myanmar)	None	AGL	18.77	Pear	90
Burma (Myanmar)	None	SSEF	1.329/1.033/0.920/0.836	Round/Oval	61

Spinel Index

Origin	Treatment	Laboratory Report	Carat	Cut	Lot
Burma (Myanmar)	None	AGL	16.76/16.69	Pear	89
N/A	None	GIA	24.89 x 17.16 x 5.42mm	Oval Cabochon	72

Topaz Index

Origin	Treatment	Laboratory Report	Carat	Cut	Lot
Brazil	None	SSEF	19.89	Pear	76

Pearl Index

Origin	Treatment	Laboratory Report	Carat	Cut	Lot
N/A	None	GIA	6.80mm & 7.00mm	Button	13
N/A	None	GIA	16.32 to 14.72mm	Round	33
N/A	Please see department	GIA	14.02 to 11.15mm	Round	47

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FLAWLESS

Phillips Flawless offers a discreet service for those looking to buy or sell jewelry, diamonds and gemstones. From engagement rings to investment jewels, we're here to advise and assist throughout the year.

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Price upon request

PHILLIPS

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Auction

Friday, 25 June at 11am

Please register to bid online, absentee or by phone.

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1 Hampton Road
Southampton, New York 11968

17-20 June
Thursday-Saturday, 11am-6pm
Sunday, 12-6pm

Park Avenue Viewing

432 Park Avenue, New York 10022

21-24 June
Monday-Wednesday, 11am-6pm
Thursday, 11am-4pm

Contact AppointmentsNewYork@phillips.com
or +1 212 940 1200 to arrange your visit.

Sale Designation

When sending in written bids or making enquiries please refer to this sale as NY060221 or Jewels.

Absentee and Telephone Bids

Tel +1 212 940 1228
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bidsnewyork@phillips.com

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Front cover Harry Winston, An Important Sapphire, Diamond and Platinum Ring, lot 90

Back cover A Diamond and Platinum Ring, lot 39
Jewels by Viggì, A Diamond and Platinum Ring, lot 38
A Pair of Diamond and Gold Earrings, lot 34

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Guide for Prospective Buyers of Jewelry and Watches

Buying at Auction

The following pages are designed to offer you information on how to buy at auction at Phillips. Our staff will be happy to assist you.

Conditions of Sale

The Conditions of Sale and Authorship Warranty which appear later in this catalogue govern the auction. Bidders are strongly encouraged to read them as they outline the legal relationship among Phillips, the seller and the buyer and describe the terms upon which property is bought at auction. Please be advised that Phillips generally acts as agent for the seller.

Buyer's Premium

Phillips charges the successful bidder a commission, or buyer's premium, on the hammer price of each lot sold. The buyer's premium is payable by the buyer as part of the total purchase price at the following rates: 26% of the hammer price up to and including \$600,000, 21% of the portion of the hammer price above \$600,000 up to and including \$6,000,000 and 14.5% of the portion of the hammer price above \$6,000,000.

1 Prior to Auction

Catalogue Subscriptions

If you would like to purchase a catalogue for this auction or any other Phillips sale, please contact us at +1 212 940 1240 or +44 20 7318 4010.

Pre-Sale Estimates

Pre-sale estimates are intended as a guide for prospective buyers. Any bid within the high and low estimate range should, in our opinion, offer a chance of success. However, many lots achieve prices below or above the pre-sale estimates. Where "Estimate on Request" appears, please contact the specialist department for further information. It is advisable to contact us closer to the time of the auction as estimates can be subject to revision. Pre-sale estimates do not include the buyer's premium or any applicable taxes.

Pre-Sale Estimates in Pounds Sterling and Euros

Although the sale is conducted in US dollars, the pre-sale estimates in the auction catalogues may also be printed in pounds sterling and/or euros. Since the exchange rate is that at the time of catalogue production and not at the date of auction, you should treat estimates in pounds sterling or euros as a guide only.

Catalogue Entries

Phillips may print in the catalogue entry the history of ownership of a work of art, as well as the exhibition history of the property and references to the work in art publications. While we are careful in the cataloguing process, provenance, exhibition and literature references may not be exhaustive and in some cases we may intentionally refrain from disclosing the identity of previous owners. Please note that all dimensions of the property set forth in the catalogue entry are approximate.

Condition of Lots

Our catalogues include references to condition only in the descriptions of multiple works (e.g., prints). Such references, though, do not amount to a full description of condition. The absence of reference to the condition of a lot in the catalogue entry does not imply that the lot is free from faults or imperfections. Solely as a convenience to clients, Phillips may provide condition reports. In preparing such reports, our specialists assess the condition in a manner appropriate to the estimated value of the property and the nature of the auction in which it is included. While condition reports are prepared honestly and carefully, our staff are not professional restorers or trained conservators. We therefore encourage all prospective buyers to inspect the property at the pre-sale exhibitions and recommend, particularly in the case of any lot of significant value, that you retain your own restorer or professional advisor to report to you on the property's condition prior to bidding. Any prospective buyer of photographs or prints should always request a condition report because all such property is sold unframed, unless otherwise indicated in the condition report. If a lot is sold framed, Phillips accepts no liability for the condition of the frame. If we sell any lot unframed, we will be pleased to refer the purchaser to a professional framer.

Pre-Auction Viewing

Pre-auction viewings are open to the public and free of charge. Our specialists are available to give advice and condition reports at viewings or by appointment.

Electrical and Mechanical Lots

All lots with electrical and/or mechanical features are sold on the basis of their decorative value only and should not be assumed to be operative. It is essential that, prior to any intended use, the electrical system is verified and approved by a qualified electrician.

Symbol Key

The following key explains the symbols you may see inside this catalogue.

O Guaranteed Property

Lots designated with the symbol [O] are the subject of a minimum price guarantee. In such cases Phillips has guaranteed to the seller of the lot that regardless of the outcome of the sale the seller shall receive no less than a minimum sum. This guarantee may be provided solely by Phillips or jointly with a third party.

◆ Third Party Guarantee

Where Phillips has agreed to a minimum price guarantee it assumes the financial risk of a lot failing to sell or selling for less than the minimum price guarantee. Because the sums involved can be significant Phillips may choose to share the burden of that financial risk with a third party. The third party shares the risk by committing in advance of the sale, usually by way of a written bid, to buy the lot for an agreed amount whether or not there are competing bidders for the lot. If there are competing bidders third party guarantors may also bid above any written bid. In this way the third party guarantor assumes the risk of the bidding not reaching the amount of the minimum price guarantee.

In return for underwriting or sharing this risk Phillips will usually compensate the third party. The compensation may be in the form of a fixed fee or an amount calculated by reference to the hammer price of the lot. If the third party guarantor is the successful bidder they will be required to pay the full hammer price and buyer's premium and will not be otherwise compensated.

Disclosure of financial interest by third parties

Phillips requires third party guarantors to disclose their financial interest in the lot to anyone whom they are advising. If you are contemplating bidding on a lot which is the subject of a third party guarantee and you are being advised by someone or if you have asked someone to bid on your behalf you should always ask them to confirm whether or not they have a financial interest in the lot.

△ Property in Which Phillips Has an Ownership Interest

Lots with this symbol indicate that Phillips owns the lot in whole or in part or has an economic interest in the lot equivalent to an ownership interest.

● No Reserve

Unless indicated by a ♣, all lots in this catalogue are offered subject to a reserve. A reserve is the confidential value established between Phillips and the seller and below which a lot may not be sold. The reserve for each lot is generally set at a percentage of the low estimate and will not exceed the low pre-sale estimate.

Σ Regulated Species

Lots with this symbol have been identified at the time of cataloguing as containing endangered or other protected species of wildlife which may be subject to restrictions regarding export or import and which may require permits for export as well as import. Please refer to Paragraph 4 of the Guide for Prospective Buyers and Paragraph 12 of the Conditions of Sale.

⌘ Charitable Contribution Deduction

Buyers of Lots indicated with this symbol may be entitled to claim a charitable deduction pursuant to the terms of Paragraph 10 of the Conditions of Sale.

Colored Gemstones

Clients are advised that many colored gemstones are treated to enhance their properties. For example, heating is commonly used to improve the color or transparency of rubies and sapphires; oiling is commonly used to enhance the clarity of emeralds. Such enhancement procedures are widely accepted by the international jewelry profession. While heat enhancement of color is generally believed to be permanent, the process may impact the durability of the gemstone and special care of the stone may be required over time. Gemstones which have been oiled may need to be re-oiled after a period of years to retain their maximum clarity.

Gemological Reports

As a matter of policy, Phillips will obtain gemological reports from officially recognized laboratories for certain gemstones offered for sale. A summary of these reports is included in the catalogue description for a lot, and a copy of

the report is available upon request. Reports from American gemological laboratories used by Phillips will generally disclose heat enhancement or treatment of colored gemstones. European gemological laboratory reports will disclose heat enhancement only if specifically requested but will generally confirm when no heat enhancement or treatment has been made. Variations in approach and technology used by different gemological laboratories may result in a lack of consensus among reports as to whether any particular gemstone has been treated, the extent of treatment and whether treatment is permanent.

It is not feasible for Phillips to obtain such reports for all gemstones offered at auction. Prospective buyers should, therefore, bear in mind that colored gemstones offered for sale without a gemological report or a specific statement in the catalogue entry may have been treated to enhance color, transparency or clarity. Enhancement of colored gemstones may affect market value, and our pre-sale estimates reflect the assumption that any colored gemstone not described in the catalogue entry as natural may have been treated.

Country of Origin

While Phillips attempts to obtain accurate information on the country of origin of gemstones, we do not guarantee the correctness of the catalogue or other descriptions of gemstones including country of origin.

Watches

Phillips makes no representation or warranty that any watch is in working order, and no catalogue description of any lot should be construed as so stating. Prospective buyers are advised to have watches checked by a competent watchmaker before use. As a service to prospective buyers, we may provide a description of the condition of watches in the catalogue entry, including references to defects and repairs, and furnish a condition report, but such information is not necessarily complete and may not specify all mechanical replacements, restorations or defects. Please note that Phillips does not guarantee the authenticity of any individual component parts, such as wheels, hands, crowns, crystals, screws, bracelets and leather bands, since prior repairs and restoration work may have resulted in the replacement of original parts. Nor does Phillips warrant that watches in water-resistant cases are currently water-resistant. Prospective buyers should inspect all watches prior to the auction to evaluate the condition of property offered for sale.

▼ Importation of Watches Into the United States

Prospecting buyers should be aware that the importation of luxury watches such as Rolex into the United States is highly restricted. These watches (not limited to Rolex) may not be shipped into the US and may only be imported personally. US customs regulations generally limit the importation of luxury watches to one per buyer. Lots marked with ▼ are subject to these restrictions. A purchaser's inability to import a luxury watch into the United States or Phillips's failure to mark a lot with ▼ shall not constitute grounds for non-payment or cancellation of the sale.

◆ Exportation of Watch Bands Incorporating Material from Regulated Species

Some of the watches offered for sale in this catalogue may have bands made of regulated or protected animal materials such as alligator or crocodile and may not lawfully be exported from the auction site without a CITES export permit. As explained above, these lots are marked with ◆ in the catalogue. Accordingly, for purchased watches that are to be shipped out of the sale site for delivery, Phillips may need to remove and retain the band before shipping the watch and buckle.

2 Bidding in the Sale

Bidding at Auction

Bids may be executed during the auction in person by paddle, by telephone, online or prior to the sale in writing by absentee bid. Proof of identity in the form of government issued identification will be required, as will an original signature. We may also require that you furnish us with a bank reference.

Bidding in Person

To bid in person, you will need to register for and collect a paddle before the auction begins. New clients are encouraged to register at least 48 hours in advance of a sale to allow sufficient time for us to process your information. All lots sold will be invoiced to the name and address to which the paddle has been registered and invoices cannot be transferred to other names and addresses. Please do not misplace your paddle. In the event you lose it, inform a Phillips staff member immediately. At the end of the auction, please return your paddle to the registration desk.

Bidding by Telephone

If you cannot attend the auction, you may bid live on the telephone with one of our multi-lingual staff members. This service must be arranged at least 24 hours in advance of the sale and is available for lots whose low pre-sale estimate is at least \$1,000. Telephone bids may be recorded. By bidding on the telephone, you consent to the recording of your conversation. We suggest that you leave a maximum bid, excluding the buyer's premium and any applicable taxes, which we can execute on your behalf in the event we are unable to reach you by telephone.

Online Bidding

If you cannot attend the auction in person, you may bid online on our online live bidding platform available on our website at www.phillips.com. The digital saleroom is optimized to run on Google Chrome, Firefox, Opera and Internet Explorer browsers. Clients who wish to run the platform on Safari will need to install Adobe FlashPlayer. Follow the links to 'Auctions' and 'Digital Saleroom' and then pre-register by clicking on 'Register to Bid Live.' The first time you register you will be required to create an account; thereafter you will only need to register for each sale. You must pre-register at least 24 hours before the start of the auction in order to be approved by our bid department. Please note that corporate firewalls may cause difficulties for online bidders.

Absentee Bids

If you are unable to attend the auction and cannot participate by telephone, Phillips will be happy to execute written bids on your behalf. A bidding form can be found at the back of this catalogue. This service is free and confidential. Bids must be placed in the currency of the sale. Our staff will attempt to execute an absentee bid at the lowest possible price taking into account the reserve and other bidders. Always indicate a maximum bid, excluding the buyer's premium and any applicable taxes. Unlimited bids will not be accepted. Any absentee bid must be received at least 24 hours in advance of the sale. In the event of identical bids, the earliest bid received will take precedence.

Employee Bidding

Employees of Phillips and our affiliated companies, including the auctioneer, may bid at the auction by placing absentee bids so long as they do not know the reserve when submitting their absentee bids and otherwise comply with our employee bidding procedures.

Bidding Increments

Bidding generally opens below the low estimate and advances in increments of up to 10%, subject to the auctioneer's discretion. Absentee bids that do not conform to the increments set below may be lowered to the next bidding increment.

\$50 to \$1,000	by \$50s
\$1,000 to \$2,000	by \$100s
\$2,000 to \$3,000	by \$200s
\$3,000 to \$5,000	by \$200s, 500, 800
(i.e., \$4,200, 4,500, 4,800)	
\$5,000 to \$10,000	by \$500s
\$10,000 to \$20,000	by \$1,000s
\$20,000 to \$30,000	by \$2,000s
\$30,000 to \$50,000	by \$2,000s, 5,000, 8,000
\$50,000 to \$100,000	by \$5,000s
\$100,000 to \$200,000	by \$10,000s
above \$200,000	auctioneer's discretion

The auctioneer may vary the increments during the course of the auction at his or her own discretion.

3 The Auction

Conditions of Sale

As noted above, the auction is governed by the Conditions of Sale and Authorship Warranty. All prospective bidders should read them carefully. They may be amended by saleroom addendum or auctioneer's announcement.

Interested Parties Announcement

In situations where a person allowed to bid on a lot has a direct or indirect interest in such lot, such as the beneficiary or executor of an estate selling the lot, a joint owner of the lot or a party providing or participating in a guarantee on the lot, Phillips will make an announcement in the saleroom that interested parties may bid on the lot.

Consecutive and Responsive Bidding; No Reserve Lots

The auctioneer may open the bidding on any lot by placing a bid on behalf of the seller. The auctioneer may further bid on behalf of the seller up to the amount of the reserve by placing consecutive bids or bids in response to other bidders. If a lot is offered without reserve, unless there are already competing absentee bids, the auctioneer will generally open the bidding at 50% of the lot's low pre-sale estimate. In the absence of a bid at that level, the auctioneer will proceed backwards at his or her discretion until a bid is recognized and will then advance the bidding from that amount. Absentee bids on no reserve lots will, in the absence of a higher bid, be executed at approximately 50% of the low pre-sale estimate or at the amount of the bid if it is less than 50% of the low pre-sale estimate. If there is no bid whatsoever on a no reserve lot, the auctioneer may deem such lot unsold.

4 After the Auction

Payment

Buyers are required to pay for purchases immediately following the auction unless other arrangements are agreed with Phillips in writing in advance of the sale. Payment must be made in US dollars either by cash, check drawn on a US bank or wire transfer, as noted in Paragraph 6 of the Conditions of Sale. It is our corporate policy not to make or accept single or multiple payments in cash or cash equivalents in excess of US\$2,000.

Credit Cards

As a courtesy to clients, Phillips will accept American Express, Visa and Mastercard to pay for invoices of \$30,000 or less.

Collection

It is our policy to request proof of identity on collection of a lot. A lot will be released to the buyer or the buyer's authorized representative when Phillips has received full and cleared payment and we are not owed any other amount by the buyer. Promptly after the auction, we will transfer all lots to our warehouse located at 29-09 37th Avenue in Long Island City, Queens, New York. All purchased lots should be collected at this location during our regular weekday business hours. As a courtesy to clients, we will upon request transfer purchased lots suitable for hand carry back to our premises at 450 Park Avenue, New York, New York for collection within 30 days following the date of the auction. We will levy removal, interest, storage and handling charges on uncollected lots.

Loss or Damage

Buyers are reminded that Phillips accepts liability for loss or damage to lots for a maximum of seven days following the auction.

Transport and Shipping

As a free service for buyers, Phillips will wrap purchased lots for hand carry only. Alternatively, we will either provide packing, handling and shipping services or coordinate with shipping agents in order to facilitate such services for property purchased at Phillips. In the event

that the property is collected in New York by the buyer or the buyer's designee (including any private carrier) for subsequent transport out of state, Phillips may be required by law to collect New York sales tax, regardless of the lot's ultimate destination. Please refer to Paragraph 18 of the Conditions of Sale for more information.

Export and Import Licenses

Before bidding for any property, prospective bidders are advised to make independent inquiries as to whether a license is required to export the property from the United States or to import it into another country. It is the buyer's sole responsibility to comply with all import and export laws and to obtain any necessary licenses or permits. The denial of any required license or permit or any delay in obtaining such documentation will not justify the cancellation of the sale or any delay in making full payment for the lot.

Regulated Species

Items made of or incorporating plant or animal material, such as coral, crocodile, ivory, whalebone, Brazilian rosewood, rhinoceros horn or tortoiseshell, irrespective of age, percentage or value, may require a license or certificate prior to exportation and additional licenses or certificates upon importation to any foreign country. Please note that the ability to obtain an export license or certificate does not ensure the ability to obtain an import license or certificate in another country, and vice versa. We suggest that prospective bidders check with their own government regarding wildlife import requirements prior to placing a bid. It is the buyer's sole responsibility to obtain any necessary export or import licenses or certificates as well as any other required documentation. Please note that lots containing potentially regulated plant or animal material are marked as a convenience to our clients, but Phillips does not accept liability for errors or for failing to mark lots containing protected or regulated species.

Privacy

Our Privacy Policy is available at www.phillips.com or by emailing dataprotection@phillips.com and sets out: (i) the types of personal data we will or may collect and process; (ii) the purposes for which we will or may process your personal data; (iii) the lawful bases we rely on when processing your personal data; (iv) your rights in respect of our processing of your personal data; and (v) various other information as required by applicable laws.

Phillips premises, sale, and exhibition venues are subject to CCTV video surveillance and recording for security, client service and bid monitoring purposes. Phillips' auctions will be filmed for simultaneous live broadcast on Phillips' and third party websites and applications.

Your communications with Phillips, including by phone and online (e.g. phone and on-line bidding) may be recorded for security, client service and bid monitoring purposes. Where we record such information we will process it in accordance with our Privacy Policy.

Conditions of Sale

The Conditions of Sale and Authorship Warranty set forth below govern the relationship between bidders and buyers, on the one hand, and Phillips and sellers, on the other hand. All prospective buyers should read these Conditions of Sale and Authorship Warranty carefully before bidding.

1 Introduction

Each lot in this catalogue is offered for sale and sold subject to: (a) the Conditions of Sale and Authorship Warranty; (b) additional notices and terms printed in other places in this catalogue, including the Guide for Prospective Buyers, and (c) supplements to this catalogue or other written material posted by Phillips in the saleroom, in each case as amended by any addendum or announcement by the auctioneer prior to the auction.

By bidding at the auction, whether in person, through an agent, by written bid, by telephone bid or other means, bidders and buyers agree to be bound by these Conditions of Sale, as so changed or supplemented, and Authorship Warranty.

These Conditions of Sale, as so changed or supplemented, and Authorship Warranty contain all the terms on which Phillips and the seller contract with the buyer.

2 Phillips as Agent

Phillips acts as an agent for the seller, unless otherwise indicated in this catalogue or at the time of auction. On occasion, Phillips may own a lot directly, in which case we will act in a principal capacity as a consignor, or a company affiliated with Phillips may own a lot, in which case we will act as agent for that company, or Phillips or an affiliated company may have a legal, beneficial or financial interest in a lot as a secured creditor or otherwise.

3 Catalogue Descriptions and Condition of Property

Lots are sold subject to the Authorship Warranty, as described in the catalogue (unless such description is changed or supplemented, as provided in Paragraph 1 above) and in the condition that they are in at the time of the sale on the following basis.

(a) The knowledge of Phillips in relation to each lot is partially dependent on information provided to us by the seller, and Phillips is not able to and does not carry out exhaustive due diligence on each lot. Prospective buyers acknowledge this fact and accept responsibility for carrying out inspections and investigations to satisfy themselves as to the lots in which they may be interested. Notwithstanding the foregoing, we shall exercise such reasonable care when making express statements in catalogue descriptions or condition reports as is consistent with our role as auctioneer of lots in this sale and in light of (i) the information provided to us by the seller, (ii) scholarship and technical knowledge and (iii) the generally accepted opinions of relevant experts, in each case at the time any such express statement is made.

(b) Each lot offered for sale at Phillips is available for inspection by prospective buyers prior to the auction. Phillips accepts bids on lots on the basis that bidders

(and independent experts on their behalf, to the extent appropriate given the nature and value of the lot and the bidder's own expertise) have fully inspected the lot prior to bidding and have satisfied themselves as to both the condition of the lot and the accuracy of its description.

(c) Prospective buyers acknowledge that many lots are of an age and type which means that they are not in perfect condition. As a courtesy to clients, Phillips may prepare and provide condition reports to assist prospective buyers when they are inspecting lots. Catalogue descriptions and condition reports may make reference to particular imperfections of a lot, but bidders should note that lots may have other faults not expressly referred to in the catalogue or condition report. All dimensions are approximate. Illustrations are for identification purposes only and cannot be used as precise indications of size or to convey full information as to the actual condition of lots.

(d) Information provided to prospective buyers in respect of any lot, including any pre-sale estimate, whether written or oral, and information in any catalogue, condition or other report, commentary or valuation, is not a representation of fact but rather a statement of opinion held by Phillips. Any pre-sale estimate may not be relied on as a prediction of the selling price or value of the lot and may be revised from time to time by Phillips in our absolute discretion. Neither Phillips nor any of our affiliated companies shall be liable for any difference between the pre-sale estimates for any lot and the actual price achieved at auction or upon resale.

4 Bidding at Auction

(a) Phillips has absolute discretion to refuse admission to the auction or participation in the sale. All bidders must register for a paddle prior to bidding, supplying such information and references as required by Phillips.

(b) As a convenience to bidders who cannot attend the auction in person, Phillips may, if so instructed by the bidder, execute written absentee bids on a bidder's behalf. Absentee bidders are required to submit bids on the Absentee Bid Form, a copy of which is printed in this catalogue or otherwise available from Phillips. Bids must be placed in the currency of the sale. The bidder must clearly indicate the maximum amount he or she intends to bid, excluding the buyer's premium and any applicable sales or use taxes. The auctioneer will not accept an instruction to execute an absentee bid which does not indicate such maximum bid. Our staff will attempt to execute an absentee bid at the lowest possible price taking into account the reserve and other bidders. Any absentee bid must be received at least 24 hours in advance of the sale. In the event of identical bids, the earliest bid received will take precedence.

(c) Telephone bidders are required to submit bids on the Telephone Bid Form, a copy of which is printed in this catalogue or otherwise available from Phillips. Telephone bidding is available for lots whose low pre-sale estimate is at least \$1,000. Phillips reserves the right to require written confirmation of a successful bid from a telephone bidder by fax or otherwise immediately after such bid

is accepted by the auctioneer. Telephone bids may be recorded and, by bidding on the telephone, a bidder consents to the recording of the conversation.

(d) Bidders may participate in an auction by bidding online through Phillips's online live bidding platform available on our website at www.phillips.com. To bid online, bidders must register online at least 24 hours before the start of the auction. Online bidding is subject to approval by Phillips's bid department in our sole discretion. As noted in Paragraph 3 above, Phillips encourages online bidders to inspect prior to the auction any lot(s) on which they may bid, and condition reports are available upon request. Bidding in a live auction can progress quickly. To ensure that online bidders are not placed at a disadvantage when bidding against bidders in the room or on the telephone, the procedure for placing bids through Phillips's online bidding platform is a one-step process. By clicking the bid button on the computer screen, a bidder submits a bid. Online bidders acknowledge and agree that bids so submitted are final and may not under any circumstances be amended or retracted. During a live auction, when bids other than online bids are placed, they will be displayed on the online bidder's computer screen as 'floor' bids. 'Floor' bids include bids made by the auctioneer to protect the reserve. In the event that an online bid and a 'floor' or 'phone' bid are identical, the 'floor' bid may take precedence at the auctioneer's discretion. The next bidding increment is shown for the convenience of online bidders in the bid button. The bidding increment available to online bidders may vary from the next bid actually taken by the auctioneer, as the auctioneer may deviate from Phillips's standard increments at any time at his or her discretion, but an online bidder may only place a bid in a whole bidding increment. Phillips's bidding increments are published in the Guide for Prospective Buyers.

(e) When making a bid, whether in person, by absentee bid, on the telephone or online, a bidder accepts personal liability to pay the purchase price, as described more fully in Paragraph 6 (a) below, plus all other applicable charges unless it has been explicitly agreed in writing with Phillips before the commencement of the auction that the bidder is acting as agent on behalf of an identified third party acceptable to Phillips and that we will only look to the principal for such payment.

(f) By participating in the auction, whether in person, by absentee bid, on the telephone or online, each prospective buyer represents and warrants that any bids placed by such person, or on such person's behalf, are not the product of any collusive or other anti-competitive agreement and are otherwise consistent with federal and state antitrust law.

(g) Arranging absentee, telephone and online bids is a free service provided by Phillips to prospective buyers. While we undertake to exercise reasonable care in undertaking such activity, we cannot accept liability for failure to execute such bids except where such failure is caused by our willful misconduct.

(h) Employees of Phillips and our affiliated companies, including the auctioneer, may bid at the auction by placing absentee bids so long as they do not know the reserve when submitting their absentee bids and otherwise comply with our employee bidding procedures.

5 Conduct of the Auction

(a) Unless otherwise indicated by the symbol *, each lot is offered subject to a reserve, which is the confidential minimum selling price agreed by Phillips with the seller. The reserve will not exceed the low pre-sale estimate at the time of the auction.

(b) The auctioneer has discretion at any time to refuse any bid, withdraw any lot, re-offer a lot for sale (including after the fall of the hammer) if he or she believes there may be error or dispute and take such other action as he or she deems reasonably appropriate. Phillips shall have no liability whatsoever for any such action taken by the auctioneer. If any dispute arises after the sale, our sale record is conclusive. The auctioneer may accept bids made by a company affiliated with Phillips provided that the bidder does not know the reserve placed on the lot.

(c) The auctioneer will commence and advance the bidding at levels and in increments he or she considers appropriate. In order to protect the reserve on any lot, the auctioneer may place one or more bids on behalf of the seller up to the reserve without indicating he or she is doing so, either by placing consecutive bids or bids in response to other bidders. If a lot is offered without reserve, unless there are already competing absentee bids, the auctioneer will generally open the bidding at 50% of the lot's low pre-sale estimate. In the absence of a bid at that level, the auctioneer will proceed backwards at his or her discretion until a bid is recognized and will then advance the bidding from that amount. Absentee bids on no reserve lots will, in the absence of a higher bid, be executed at approximately 50% of the low pre-sale estimate or at the amount of the bid if it is less than 50% of the low pre-sale estimate. If there is no bid whatsoever on a no reserve lot, the auctioneer may deem such lot unsold.

(d) The sale will be conducted in US dollars and payment is due in US dollars. For the benefit of international clients, pre-sale estimates in the auction catalogue may be shown in pounds sterling and/or euros and, if so, will reflect approximate exchange rates. Accordingly, estimates in pounds sterling or euros should be treated only as a guide. If a currency converter is operated during the sale, it is done so as a courtesy to bidders, but Phillips accepts no responsibility for any errors in currency conversion calculation.

(e) Subject to the auctioneer's reasonable discretion, the highest bidder accepted by the auctioneer will be the buyer and the striking of the hammer marks the acceptance of the highest bid and the conclusion of a contract for sale between the seller and the buyer. Risk and responsibility for the lot passes to the buyer as set forth in Paragraph 7 below.

(f) If a lot is not sold, the auctioneer will announce that it has been "passed," "withdrawn," "returned to owner" or "bought-in."

(g) Any post-auction sale of lots offered at auction shall incorporate these Conditions of Sale and Authorship Warranty as if sold in the auction.

6 Purchase Price and Payment

(a) The buyer agrees to pay us, in addition to the hammer price of the lot, the buyer's premium and any applicable sales tax (the 'Purchase Price'). The buyer's premium is 26% of the hammer price up to and including \$600,000, 21% of the portion of the hammer price above \$600,000 up to and including \$6,000,000 and 14.5% of the portion of the hammer price above \$6,000,000. Phillips reserves the right to pay from our compensation an introductory commission to one or more third parties for assisting in the sale of property offered and sold at auction.

(b) Sales tax, use tax and excise and other taxes are payable in accordance with applicable law. All prices, fees, charges and expenses set out in these Conditions of Sale are quoted exclusive of applicable taxes. Phillips will only accept valid resale certificates from US dealers as proof of exemption from sales tax. All foreign buyers should contact the Client Accounting Department about tax matters.

(c) Unless otherwise agreed, a buyer is required to pay for a purchased lot immediately following the auction regardless of any intention to obtain an export or import license or other permit for such lot. Payments must be made by the invoiced party in US dollars either by cash, check drawn on a US bank or wire transfer, as follows:

(i) Phillips will accept payment in cash provided that the total amount paid in cash or cash equivalents does not exceed US\$2,000. Buyers paying in cash should do so in person at our Client Accounting Desk at 450 Park Avenue during regular weekday business hours.

(ii) Personal checks and banker's drafts are accepted if drawn on a US bank and the buyer provides to us acceptable government issued identification. Checks and banker's drafts should be made payable to "Phillips." If payment is sent by mail, please send the check or banker's draft to the attention of the Client Accounting Department at 450 Park Avenue, New York, NY 10022 and make sure that the sale and lot number is written on the check. Checks or banker's drafts drawn by third parties will not be accepted.

(iii) Payment by wire transfer may be sent directly to Phillips. Bank transfer details:

Signature Bank
485 Madison Avenue, New York, NY 10022
SWIFT code: SIGNUS33
ABA routing no.: 026013576
For account of: Phillips Auctioneers LLC
Account no.: 1502977462

Please reference the relevant sale and lot number.

(d) As a courtesy to clients, Phillips will accept American Express, Visa and Mastercard to pay for invoices of \$30,000 or less.

(e) Title in a purchased lot will not pass until Phillips has received the Purchase Price for that lot in cleared funds. Phillips is not obliged to release a lot to the buyer until title in the lot has passed and appropriate identification has been provided, and any earlier release does not affect the passing of title or the buyer's unconditional obligation to pay the Purchase Price.

7 Collection of Property

(a) Phillips will not release a lot to the buyer until we have received payment of its Purchase Price in full in cleared funds, the buyer has paid all outstanding amounts due to Phillips or any of our affiliated companies, including any charges payable pursuant to Paragraph 8 (a) below, and the buyer has satisfied such other terms as we in our sole discretion shall require, including completing any anti-money laundering or anti-terrorism financing checks. As soon as a buyer has satisfied all of the foregoing conditions, he or she should contact our Shipping Department at +1 212 940 1372 or +1 212 940 1373 to arrange for collection of purchased property.

(b) The buyer must arrange for collection of a purchased lot within seven days of the date of the auction. Promptly after the auction, we will transfer all lots to our warehouse located at 29-09 37th Avenue in Long Island City, Queens, New York. All purchased lots should be collected at this location during our regular weekday business hours. As a courtesy to clients, Phillips will upon request transfer on a bi-weekly basis purchased lots suitable for hand-carry back to our premises at 450 Park Avenue, New York, New York for collection within 30 days following the date of the auction. Purchased lots are at the buyer's risk, including the responsibility for insurance, from the earlier to occur of (i) the date of collection or (ii) seven days after the auction. Until risk passes, Phillips will compensate the buyer for any loss or damage to a purchased lot up to a maximum of the Purchase Price paid, subject to our usual exclusions for loss or damage to property.

(c) As a courtesy to clients, Phillips will, without charge, wrap purchased lots for hand-carry only. We will, at the buyer's expense, either provide packing, handling, insurance and shipping services or coordinate with shipping agents instructed by the buyer in order to facilitate such services for property bought at Phillips. Any such instruction, whether or not made at our recommendation, is entirely at the buyer's risk and responsibility, and we will not be liable for acts or omissions of third party packers or shippers. Third party shippers should contact us by telephone at +1 212 940 1376 or by fax at +1 212 924 6477 at least 24 hours in advance of collection in order to schedule pickup.

(d) Phillips will require presentation of government issued identification prior to release of a lot to the buyer or the buyer's authorized representative.

8 Failure to Collect Purchases

(a) If the buyer pays the Purchase Price but fails to collect a purchased lot within 30 days of the auction, the buyer will incur a late collection fee of \$10 per day for each uncollected lot. Additional charges may apply to oversized lots. We will not release purchased lots to the buyer until all such charges have been paid in full.

(b) If a purchased lot is paid for but not collected within six months of the auction, the buyer authorizes Phillips, upon notice, to arrange a resale of the item by auction or private sale, with estimates and a reserve set at Phillips's reasonable discretion. The proceeds of such sale will be applied to pay for storage charges and any other outstanding costs and expenses owed by the buyer to Phillips or our affiliated companies and the remainder will be forfeited unless collected by the buyer within two years of the original auction.

9 Remedies for Non-Payment

(a) Without prejudice to any rights the seller may have, if the buyer without prior agreement fails to make payment of the Purchase Price for a lot in cleared funds within seven days of the auction, Phillips may in our sole discretion exercise one or more of the following remedies: (i) store the lot at Phillips's premises or elsewhere at the buyer's sole risk and expense at the same rates as set forth in Paragraph 8 (a) above; (ii) cancel the sale of the lot, retaining any partial payment of the Purchase Price as liquidated damages; (iii) reject future bids from the buyer or render such bids subject to payment of a deposit; (iv) charge interest at 12% per annum from the date payment became due until the date the Purchase Price is received in cleared funds; (v) subject to notification of the buyer, exercise a lien over any of the buyer's property which is in the possession of Phillips and instruct our affiliated companies to exercise a lien over any of the buyer's property which is in their possession and, in each case, no earlier than 30 days from the date of such notice, arrange the sale of such property and apply the proceeds to the amount owed to Phillips or any of our affiliated companies after the deduction from sale proceeds of our standard vendor's commission and all sale-related expenses; (vi) resell the lot by auction or private sale, with estimates and a reserve set at Phillips reasonable discretion, it being understood that in the event such resale is for less than the original hammer price and buyer's premium for that lot, the buyer will remain liable for the shortfall together with all costs incurred in such resale; (vii) commence legal proceedings to recover the hammer price and buyer's premium for that lot, together with interest and the costs of such proceedings; (viii) set off the outstanding amount remaining unpaid by the buyer against any amounts which we or any of our affiliated companies may owe the buyer in any other transactions; (ix) release the name and address of the buyer to the seller to enable the seller to commence legal proceedings to recover the amounts due and legal costs or (x) take such other action as we deem necessary or appropriate.

(b) As security to us for full payment by the buyer of all outstanding amounts due to Phillips and our affiliated

companies, Phillips retains, and the buyer grants to us, a security interest in each lot purchased at auction by the buyer and in any other property or money of the buyer in, or coming into, our possession or the possession of one of our affiliated companies. We may apply such money or deal with such property as the Uniform Commercial Code or other applicable law permits a secured creditor to do. In the event that we exercise a lien over property in our possession because the buyer is in default to one of our affiliated companies, we will so notify the buyer. Our security interest in any individual lot will terminate upon actual delivery of the lot to the buyer or the buyer's agent.

(c) In the event the buyer is in default of payment to any of our affiliated companies, the buyer also irrevocably authorizes Phillips to pledge the buyer's property in our possession by actual or constructive delivery to our affiliated company as security for the payment of any outstanding amount due. Phillips will notify the buyer if the buyer's property has been delivered to an affiliated company by way of pledge.

10 Charitable Contribution Deduction

Buyers of lots indicated with a \times symbol may be entitled to claim a charitable contribution deduction for the hammer price, but such deduction will be limited to the excess of the hammer price paid for the lot over its fair market value. In accordance with applicable IRS regulations, Phillips has provided a good faith estimate of the fair market value for Lot 5 which is \$130,000. Buyers will have until Dec. 31, 2021, inclusively, to indicate to Chabad of Hunterdon County in writing, their wish to benefit from this charitable contribution deduction by sending an email to Yekusiel Kornfeld, Chabad of Hunterdon County, hcchabad@gmail.com.

In accordance with applicable IRS regulations, Phillips has provided a good faith estimate of the fair market value for Lot 21 which is \$20,000. Buyers will have until Dec. 31, 2021, inclusively, to indicate to Phillips, on behalf of Conservation International, in writing, their wish to benefit from this charitable contribution deduction by sending an email to Jewels@phillips.com.

Bidders are advised to consult with their own tax advisors to determine the application of the tax law to their own specific circumstances and whether a charitable contribution deduction is available. Buyers of all such Lots are advised to discuss their particular tax circumstances with their independent tax advisors, including residency eligibility under applicable laws to determine if a charitable deduction for any lot is available in any jurisdiction outside the United States.

11 Rescission by Phillips

Phillips shall have the right, but not the obligation, to rescind a sale without notice to the buyer if we reasonably believe that there is a material breach of the seller's representations and warranties or the Authorship Warranty or an adverse claim is made by a third party. Upon notice of Phillips's election to rescind the sale, the buyer will promptly return the lot to Phillips, and we will then refund the Purchase Price paid to us. As described more fully in

Paragraph 14 below, the refund shall constitute the sole remedy and recourse of the buyer against Phillips and the seller with respect to such rescinded sale.

12 Export, Import and Endangered Species Licenses and Permits

Before bidding for any property, prospective buyers are advised to make their own inquiries as to whether a license is required to export a lot from the US or to import it into another country. Prospective buyers are advised that some countries prohibit the import of property made of or incorporating plant or animal material, such as coral, crocodile, ivory, whalebone, Brazilian rosewood, rhinoceros horn or tortoiseshell, irrespective of age, percentage or value. Accordingly, prior to bidding, prospective buyers considering export of purchased lots should familiarize themselves with relevant export and import regulations of the countries concerned. It is solely the buyer's responsibility to comply with these laws and to obtain any necessary export, import and endangered species licenses or permits. Failure to obtain a license or permit or delay in so doing will not justify the cancellation of the sale or any delay in making full payment for the lot. As a courtesy to clients, Phillips has marked in the catalogue lots containing potentially regulated plant or animal material, but we do not accept liability for errors or for failing to mark lots containing protected or regulated species.

13 Privacy

(a) You acknowledge and understand that we may process your personal data (including potentially special category data) in accordance with our privacy policy from time to time as published at www.phillips.com or available by emailing dataprotection@phillips.com.

(b) Our privacy policy sets out: (i) the types of personal data we will or may collect and process; (ii) the purposes for which we will or may process your personal data (including for example the provision of auction, private sale and related services; the performance and enforcement of these terms and conditions; the carrying out of identity and credit checks; keeping you informed about upcoming auctions, exhibitions and special events; and generally where reasonably necessary in the management and operation of our business); (iii) the lawful bases on which we rely in undertaking our processing of your personal data; (iv) your rights in respect of our processing of your personal data; and (v) various other information as required by applicable laws.

(c) Phillips premises and sale and exhibition venues are subject to CCTV video surveillance and recording for security, client service and bid monitoring purposes and will be filmed during the auction for simultaneous live broadcast on our and third party websites and applications. By remaining in these areas, you acknowledge that you may be photographed, filmed and recorded and grant your permission for your likeness and voice to be included in such recordings. If you do not wish to be photographed or filmed or appear in such recordings, please speak to a member of Phillips staff.

Your communications with Phillips, including by telephone and online (e.g. telephone and on-line bidding) may also be recorded for security, client service and bid monitoring purposes. Where we record such information we will process it in accordance with our Privacy Policy available at www.phillips.com.

14 Limitation of Liability

(a) Subject to subparagraph (e) below, the total liability of Phillips, our affiliated companies and the seller to the buyer in connection with the sale of a lot shall be limited to the Purchase Price actually paid by the buyer for the lot.

(b) Except as otherwise provided in this Paragraph 14, none of Phillips, any of our affiliated companies or the seller (i) is liable for any errors or omissions, whether orally or in writing, in information provided to prospective buyers by Phillips or any of our affiliated companies or (ii) accepts responsibility to any bidder in respect of acts or omissions, whether negligent or otherwise, by Phillips or any of our affiliated companies in connection with the conduct of the auction or for any other matter relating to the sale of any lot.

(c) All warranties other than the Authorship Warranty, express or implied, including any warranty of satisfactory quality and fitness for purpose, are specifically excluded by Phillips, our affiliated companies and the seller to the fullest extent permitted by law.

(d) Subject to subparagraph (e) below, none of Phillips, any of our affiliated companies or the seller shall be liable to the buyer for any loss or damage beyond the refund of the Purchase Price referred to in subparagraph (a) above, whether such loss or damage is characterized as direct, indirect, special, incidental or consequential, or for the payment of interest on the Purchase Price to the fullest extent permitted by law.

(e) No provision in these Conditions of Sale shall be deemed to exclude or limit the liability of Phillips or any of our affiliated companies to the buyer in respect of any fraud or fraudulent misrepresentation made by any of us or in respect of death or personal injury caused by our negligent acts or omissions.

15 Copyright

The copyright in all images, illustrations and written materials produced by or for Phillips relating to a lot, including the contents of this catalogue, is and shall remain at all times the property of Phillips and such images and materials may not be used by the buyer or any other party without our prior written consent. Phillips and the seller make no representations or warranties that the buyer of a lot will acquire any copyright or other reproduction rights in it.

16 General

(a) These Conditions of Sale, as changed or supplemented as provided in Paragraph 1 above, and Authorship Warranty set out the entire agreement between the parties with respect to the transactions contemplated herein and supersede all prior and contemporaneous

written, oral or implied understandings, representations and agreements.

(b) Notices to Phillips shall be in writing and addressed to the department in charge of the sale, quoting the reference number specified at the beginning of the sale catalogue. Notices to clients shall be addressed to the last address notified by them in writing to Phillips.

(c) These Conditions of Sale are not assignable by any buyer without our prior written consent but are binding on the buyer's successors, assigns and representatives.

(d) Should any provision of these Conditions of Sale be held void, invalid or unenforceable for any reason, the remaining provisions shall remain in full force and effect. No failure by any party to exercise, nor any delay in exercising, any right or remedy under these Conditions of Sale shall act as a waiver or release thereof in whole or in part.

17 Law and Jurisdiction

(a) The rights and obligations of the parties with respect to these Conditions of Sale and Authorship Warranty, the conduct of the auction and any matters related to any of the foregoing shall be governed by and interpreted in accordance with laws of the State of New York, excluding its conflicts of law rules.

(b) Phillips, all bidders and all sellers agree to the exclusive jurisdiction of the (i) state courts of the State of New York located in New York City and (ii) the federal courts for the Southern and Eastern Districts of New York to settle all disputes arising in connection with all aspects of all matters or transactions to which these Conditions of Sale and Authorship Warranty relate or apply.

(c) All bidders and sellers irrevocably consent to service of process or any other documents in connection with proceedings in any court by facsimile transmission, personal service, delivery by mail or in any other manner permitted by New York law or the law of the place of service, at the last address of the bidder or seller known to Phillips.

18 Sales Tax

(a) Unless the buyer has delivered a valid certificate evidencing exemption from tax, the buyer shall pay applicable sales tax on any lot picked up or delivered anywhere in the United States.

(b) If the point of delivery or transfer of possession for any purchased lot to the buyer or the buyer's designee (including any private carrier) occurs in New York, then the sale is subject to New York sales tax at the existing rate of 8.875%.

(c) If the buyer arranges shipping for any purchased lot in New York by a common carrier (such as the United States Postal Service, United Parcel Service, or FedEx) that does not operate under a private agreement or contract with negotiated terms to be delivered to an out of state destination, then the sale is not subject to New York sales tax.

Authorship Warranty

Phillips warrants the authorship of property in this auction catalogue described in headings in **bold** or CAPITALIZED type for a period of five years from date of sale by Phillips, subject to the exclusions and limitations set forth below.

(a) Phillips gives this Authorship Warranty only to the original buyer of record (i.e., the registered successful bidder) of any lot. This Authorship Warranty does not extend to (i) subsequent owners of the property, including purchasers or recipients by way of gift from the original buyer, heirs, successors, beneficiaries and assigns; (ii) property where the description in the catalogue states that there is a conflict of opinion on the authorship of the property; (iii) property where our attribution of authorship was on the date of sale consistent with the generally accepted opinions of specialists, scholars or other experts; (iv) property whose description or dating is proved inaccurate by means of scientific methods or tests not generally accepted for use at the time of the publication of the catalogue or which were at such time deemed unreasonably expensive or impractical to use or likely in our reasonable opinion to have caused damage or loss in value to the lot or (v) property where there has been no material loss in value from the value of the lot had it been as described in the heading of the catalogue entry.

(b) In any claim for breach of the Authorship Warranty, Phillips reserves the right, as a condition to rescinding any sale under this warranty, to require the buyer to provide to us at the buyer's expense the written opinions of two recognized experts approved in advance by Phillips. We shall not be bound by any expert report produced by the buyer and reserve the right to consult our own experts at our expense. If Phillips agrees to rescind a sale under the Authorship Warranty, we shall refund to the buyer the reasonable costs charged by the experts commissioned by the buyer and approved in advance by us.

(c) Subject to the exclusions set forth in subparagraph (a) above, the buyer may bring a claim for breach of the Authorship Warranty provided that (i) he or she has notified Phillips in writing within three months of receiving any information which causes the buyer to question the authorship of the lot, specifying the auction in which the property was included, the lot number in the auction catalogue and the reasons why the authorship of the lot is being questioned and (ii) the buyer returns the lot to Phillips to the saleroom in which it was purchased in the same condition as at the time of its auction and is able to transfer good and marketable title in the lot free from any third party claim arising after the date of the auction. Phillips has discretion to waive any of the foregoing requirements set forth in this subparagraph (c) or subparagraph (b) above.

(d) The buyer understands and agrees that the exclusive remedy for any breach of the Authorship Warranty shall be rescission of the sale and refund of the original Purchase Price paid. This remedy shall constitute the sole remedy and recourse of the buyer against Phillips, any of our affiliated companies and the seller and is in lieu of any other remedy available as a matter of law or equity. This means that none of Phillips, any of our affiliated companies or the seller shall be liable for loss or damage beyond the remedy expressly provided in this Authorship Warranty, whether such loss or damage is characterized as direct, indirect, special, incidental or consequential, or for the payment of interest on the original Purchase Price.



*Cellini, A Multi-Colored Sapphire
and Gold Necklace, lot 5*



*An Art Deco Emerald, Diamond and
Platinum Ring, Circa 1930, lot 20*

*A Diamond, Emerald and Platinum
Bracelet, Circa 1925, lot 19*

